

ADR CURRICULUM VITAE OF RICHARD N. FRIEDMAN

CONTACT INFORMATION:

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EDUCATION: Central High School, Philadelphia, Pennsylvania (BA) (January 1959)

University of Miami (BA-Government/Accounting) (June 1962)

University of Miami School of Law (JD) (June 1965)

Georgetown Law Center (LL.M. in Taxation) (June 1967)

BAR ADMISSIONS: The Florida Bar (October 23, 1965)

District of Columbia Bar (February 1, 1966)

WORK EXPERIENCE: Staff Attorney, U.S. Securities and Exchange Commission, Washington, D.C. (June 1965-September, 1966)

Associate Attorney, Feldman and Warner, Washington, D.C.(September 1966-September 1967)

Sole Practitioner, Private Practice, Miami, Florida, (January 1, 1968-)

SCOPE OF WORK: -Litigation, trial and appellate, in federal and state courts in Florida; federal and trial courts in Washington, D.C.; federal courts in New York and Missouri, and the Superior Court of Los Angeles, California;

- Representation of clients before the SEC and NASD/FINRA in regulatory matters;
- Representation of clients as claimants and respondents in NASD arbitrations;
- Mergers, Acquisitions and Reorganizations; Class Actions;
- Representation of clients in court ordered mediations;
- NBPA Player Agent;
- TV Producer;
- Music Producer

PRACTICE AREAS: Securities, Tax, Corporations, Joint Ventures, Partnerships, Shareholders, Employment and Workplace, Real Estate, Bankruptcy, Entertainment, Sports, Trademarks and Copyrights, Insurance Defense, Transportation, Family, Contracts, Criminal Defense, and Public Interest Litigation.

MEDIATION/ARBITRATION EXPERIENCE /TRAINING:

ARBITRATIONS

Arbitrations- Represented clients as an attorney in numerous securities related arbitrations before the NASD

Arbitrator, New York Stock Exchange, Inc. (June 1973-December 1989) (Chair, first NYSE arbitration in Miami, Florida, June 1973)
Arbitrator, NASD Dispute Resolution, Inc. n/k/a FINRA (January 1988- January, 2005)
Arbitrator, FINRA, (October 2, 2012-); Chair, (November 20, 2012-)
Arbitrator, American Arbitration Association (1988-2000)
Arbitrator (APCOM Reviewer), Collins Center for Public Policy, Inc.(Resolved 120 Prudential Insurance life insurance claims) (1998-2001)
Arbitrator, Superior Court of Los Angeles, California, Random Select (Pro Bono) August 2012- June 28, 2013)

MEDIATIONS

Mediations- Represented clients as attorney in innumerable mediations in litigatory matters
Mediator Panel (Pro Bono), Superior Court of Los Angeles, California (September 2012-May 10, 2013)
Voluntary Settlement Conference Panel; Random Select (Pro Bono) Neutral Evaluation Panel; Superior Court of Los Angeles, California (August 2012-May 10, 2013)
Mediator, U.S. Bankruptcy Court, Los Angeles County, CA, Orange County, CA, Ventura County, CA, San Bernardino County, CA (March 20, 2013-)
Mediator (Volunteer), LA County Department of Consumer Affairs (80 Hours, Pro Bono, July 2012-September 2012) [Successfully resolved 15 of 17 Mediations]
Mediator (Volunteer), Inland Valleys Justice Center, Inc. (August 2012-)
Mediator (Volunteer), Korean American Coalition, 4.29 Dispute Resolution Center (August 2012-)
Mediator, Los Angeles County Bar Association (April 30, 2013-)
Mediator, FINRA, May 2, 2013-)
Mediator, California Lawyers For The Arts, Arbitration and Mediation Services (May, 2013-)

Member: Association For Conflict Resolution